

TAX COMPLIANCE - INTERNATIONAL EXCHANGE OF INFORMATION AGREEMENT
INDIVIDUAL SELF-CERTIFICATION FORM

Tax regulations require the collection of certain information about each account holder's tax residency and citizenship status.

This form is designed to capture the citizenship and residency for tax purposes of the person entitled to the income and assets associated with an account (the beneficial owner). Should you be completing this form on behalf of the beneficial owner, you should complete the form using information relating to their citizenship and residence for tax purposes rather than your own.

Please complete, where applicable, the relevant sections below in relation to all relevant accounts and provide any additional information as may be required. Please be advised that in certain circumstances we may be required to share this information with relevant tax authorities.

If you are a US citizen or resident of the US for tax purposes under US Internal Revenue Service ("IRS") regulations you should also provide a completed, signed and dated IRS Form W-9.

Do not use this form if you are an entity, nominee or other intermediary. Instead you should complete and provide the appropriate Self-Certification form.

If you have any questions about how to complete this form, please contact your tax advisor.

Part 1 —Customer Identification

Name of Account Holder:

Family Name or Surname (s): _____
Title: _____

First or Given Name: _____ Middle Name(s): _____

Permanent Residence Address

Street: _____
Province, State or Town: _____ Postal Code: _____
City: _____
Country: _____

Place (City/Town) of Birth: _____ Country of Birth: _____
Date of Birth DD/MM/YYYY: _____

Identification of Beneficial Owner

Only complete if different from the account holder and complete a separate form for each Beneficial Owner

Family Name or Surname (s): _____
Title: _____

First or Given Name: _____ Middle Name(s): _____

Permanent Residence Address

Street: _____

Province, State or Town: _____ Postal Code: _____

City: _____

Country: _____

Place (City/Town) of Birth: _____ Country of Birth: _____

Date of Birth DD/MM/YYYY: _____

Part 2 - Tax Residency/Citizenship Information (continue on a separate sheet if necessary)

For the purposes of taxation, I am a resident or citizen in the following countries and my TIN/functional equivalent in each additional country is set out below or I have indicated that a TIN/functional equivalent is unavailable:

For the UK TIN = National Insurance Number. elsewhere TIN = Tax Identification Number

Account Holder:

Country: _____ TIN or tick box if tin is unavailable:

For the UK TIN = National Insurance Number. elsewhere
TIN = Tax Identification Number

And Beneficial Owner, if applicable:

Country: _____ TIN or tick box if tin is unavailable:

For the UK TIN = National Insurance Number. elsewhere
TIN = Tax Identification Number

Part 3 - Authorisations and Undertakings

1. I authorise the Recipient to provide, directly or indirectly, to any relevant tax authorities or any party authorised to audit or conduct a similar control of the Recipient for tax purposes, a copy of this form and to disclose to such tax authorities or such party any additional information that the Recipient may have in its possession that is relevant to my qualification for any benefits claimed on the basis of this Declaration. I acknowledge and agree that information contained in this form and information regarding income paid or credited to or for the benefit of the account(s) set out above may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which I am a resident for tax purposes.
2. I authorise the Recipient to provide, directly or indirectly, a copy of this form and information regarding income paid or credited to or for the benefit of the account(s) set out above to: (i) any person that has control, receipt, or custody of income to which this form relates; (ii) any person that can disburse or make payments of income to which this form relates; or (iii) any party authorised to audit or conduct a similar control of aforementioned persons for tax purposes.
3. I certify that I am the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner of an account held at the Recipient financial institution

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete. I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

Sign
Here:

Print
Name:

Date:

(DD-MM-YYYY)

If you have signed this on behalf of the account holder please indicate the capacity in which you have acted here: